Comparison of conventional extracorporeal shock wave therapy and the new method of radial shock wave therapy in the treatment of calcaneal spurs

Gerald Haupt, <u>Rupert Diesch</u>, Thomas Straub, Emil Penninger, Thomas Frölich, Jakob Schöll, Heinz Lohrer, Theodor Senge

Department of Urology, Ruhr-Universität Bochum, Germany and Multicenter Radial Shock Wave Therapy Study Group



Address for correspondence:

Gerald Haupt, M.D., Ph.D.
Department of Urology
Ruhr-Universität Bochum
Widumer Straße 8
44627 Herne
Germany

Phone: +49-2323-499-0

Fax: +49-2323-499-382

Summary

In the 1980s extracorporeal shock waves were first used for the treatment of urolithiasis. Over the past few years extracorporeal shock wave therapy (ESWT) was introduced for the treatment of various orthopedic diseases including calcaneal spurs. The treatment proved to be an efficient alternative to surgery. However, a major drawback is availability and costs of extracorporeal shock wave machines. We developed a new device for treatment with radial shock waves and compared it to extracorporeal shock wave therapy.

50 consecutive patients were treated with the new device in 4 centers. Only patients with a minimum history of 6 months, at least two different unsuccessful conservative treatment approaches and clear indication for open surgery were included. History and results of the physical examination were recorded in detail. One to three treatments were performed without or in local anesthesia. Reexamination were scheduled after 1, 4 and 12 weeks, respectively, and included detailed physical examination and sorrow subjective scaling. These patients were cross-matched with 50 patients who had been treated with extracorporeal shock waves earlier.

Both the conventional as well as the new radial extracorporeal shock wave therapy yielded good clinical results. The success rates, defined as "good" or "intermediate" results and thus avoiding surgery was 80 and 82 % for the conventional and the new approach, respectively.

The new device for radial shock wave therapy is a successful alternative to open surgery as well as extracorporeal shock wave therapy. We developed a new therapy which seems equiefficient to other therapies, is non-invasive and economically very competitive.

Introduction

The introduction of extracorporeal shock waves for the treatment of urolithiasis revolutionized urinary stone therapy.[1, 2] Further applications were on other stones within the body such as gall bladder, pancreas and salivary gland stones.[3-5] We tested the effect on shock waves on wound and fracture healing in experimental models since 1986 and proved for the first time the osteogenetic potential of shock waves.[6-8] Knowing about the osteogenetic potential of shock waves we tested their effect in the treatment of pseudarthrosis.

In addition, since 1993 we treated tendinosis calcarea of the shoulder and plantar calcaneal spur based on shock wave effects on soft tissue described by others.[9-11].

Material and Methods

Shock wave treatment with pneumatically generated radial shock waves (Swiss DolorClast®)

The Swiss DolorClast® (EMS Electro Medical Systems, Switzerland) comprises a control unit and a handpiece which are connected via a flexible tube. The compressed air, which is drawn either form the hospital supply or from a separate compressor, is regulated in the control unit. In this way compressed air pulses of variable amplitude can be transferred to the handpiece; the control unit modulates the continuous compressed air supply at a frequency of 3 Hz before transfer to the handpiece via the connection tube.

Within the handpiece, the compressed air accelerates a projectile which strikes the base of a metal applicator. The force of the impact of the projectile on the applicator induces a shock wave in the latter. The tip of the applicator is positioned at the maximum point of pain using biofeedback.

Here 50 consecutive patients with treatments of calcaneal spurs were evaluated which are part of a multicentric, prospective, randomized, placebo-controlled study. Only patients with a minimum history of 6 months, at least two different unsuccessful conservative treatment approaches and clear indication for open surgery were included. History and physical examination were recorded in detail. One to three treatments were performed without or in local anesthesia. Reexamination were scheduled after 1, 4 and 12 weeks, respectively, with detailed physical examination and sorrow subjective scaling.

Exclusion criteria were a poor general level of health (Karnofsky index < 70), specific therapy during the last fourteen days, pregnancy, blood coagulation disorders, tumour growth when the region to be treated is affected, and systemic diseases which may be considered in differential diagnosis as possible causes of pain (e.g. collagenosis, rheumatic diseases).

These patients were cross-matched with 50 patients who had been previously treated with conventional extracorporeal shock waves.

Extracorporeal shock wave treatments

50 patients with plantar calcaneal spur had been treated earlier with extracorporeal shock waves. All patients suffered from pain with and without activity. Follow up was conducted with a patient questionnaire including a visual analogue scale for pain and activity of daily life.

General contraindications were blood coagulation disorders, pregnancy and tumour related pain.

Shock wave treatments were performed with 800 to 1000 shock waves at up to 0.20 mJ/mm². For shock wave generation an Ossatron (HMT, Switzerland), an MFL 5000 (Philips, Germany) or an Compact S (Dornier, Germany) were used. An integrated expandable water cushion with synthetic membrane was used for coupling in all machines. Positioning of the area of interest in the focus was x-ray guided with a C-arm.

Results

Both patients groups correlated well. Key demographic data are listed in Table 1. Only pain history was longer in the extracorporeal group; these patients had a health insurance dependent waiting time, while this was not the case in the radial ESWT study group.

Table 1: demographics

Parameter	Radial ESWT group	Conventional ESWT group	
age (years)	50.4 ± 11.3	49.8 ± 13.4	
sex			
female	36	34	
male	14	16	
location			
left	25	23	
right	25	27	
time of pain history (months)	23.7 ± 27.4	39.0 ± 26.5	

A comparison of the symptomatology shows good homogeneity between both treatment groups (Table 2).

Table 2: symptomatology (%)

	Radial ESWT group	Conventional ESWT group
pain induced awakening	40	38
limitation in daily life	100	100
limitation in sport activities	78	74
limitation in professional activities	62	64
maximum walking time	10	
limited	50	54
unlimited	16	20
initial pain	28	24
	to the second second	

The number as well as intensity of the extracorporeal shock wave treatment was initially determined empirically. Since their is no experience with the new treatment modality parameters were chosen arbitrarily and set down for this study. Treatment parameters are otherwise comparable between both groups with the exception of the use of local anesthesia (Table 3).

Table 3: treatment parameters

Parameter	Radial	Conventional ESWT group	
	ESWT group		
no. of shock waves	2000	800 - 1000	
Anesthesia (%)	v		
None	90	18	
Local	10	82	
location (%)		T 5	
Left	50	46	
Right	50	54	
no. of treatments	1.9	1.8	

After shock wave treatment no persisting clinically relevant side effects were seen in either group. In both groups irritation of the skin was seen in the majority of patients. Hematomas were observed in 3 patients after radial and in 8 after conventional extracorporeal shock wave therapy. Subjective pain classification after therapy was lower in the radial ESWT group.

Treatment success was evaluated in detail for the radial ESWT group after 1, 4 and 12 weeks (e.g. table 4, table 5). However, only a patient questionnaire is available for the historical group of the conventional extracorporeal shock wave therapy after 12 weeks.

Table 4: night pain levels at examination (%) in the radial ESWT group

	none (0)	light (1-3)	Medium (4-7)	Heavy (8-10)
Before treatment	50	16	24	10
after 4 weeks	80	10	3	7
after 12 weeks	90	5	3	3

Table 5: pain without activity levels at examination (%) in the radial ESWT group

80	none (0)	light (1-3)	Medium (4-7)	heavy (8-10)
Before treamtent	26	28	38	8
after 4 weeks	45	31	21	3
after 12 weeks	67	26	8	0

The mean overall success rate ("completely satisfied" and "satisfied") for the radial ESWT group was 80 % and increasing with time (up to 94 % after 12 weeks). For the conventional ESWT group satisfaction rate was 82 % after 12 weeks (Table 6).

Table 6: patient satisfaction

	Radial	Conventional	
	ESWT group	ESWT group	
Completely satisfied	78	66	
Satisfied	16	16	
not satisfied	6	18	

No patient of the radial ESWT group would deny a repetition of the treatment (data for the conventional ESWT group is not available).

Discussion

Shock wave effects on bony tissue were investigated by Graff as early as 1986, when studying side effects of shock waves on tissue interposing shock waves during the treatment of ureteral and renal stones. He performed transmission measurements in vitro as well as treatments in vivo in pigs, rabbits and beagle dogs. While bleeding and necrosis as in a blunt trauma were seen at 48 hours, later an aseptic bone marrow necrosis and osteocyte damage as well as osteoneogenesis were observed. This represented the physiology of fracture healing without preexisting fracture.[9, 12-14] At the same time we studied the effect of shock waves on fractured healing and saw also osteoneogenesis thus stimulating fracture healing by shock waves.[6, 8] In a standardized fracture model this effect was verified.[15-18] Johannes found similar results.[19] In a pseudarthrosis model healing was enhanced by shock waves.[20] Other experiments using lower energies, however, did not demonstrate shock wave induced osteoneogenesis.[21, 22].

First clinical data on the treatment of pseudarthrosis with shock waves were reported by Valchanov.[23] 70 of 82 treatments have been reported as successful, however specification of patients, treatment and follow up are incomplete.[24] Bürger found only 35 % healing and 21 % callus formation in 37 patients.[25-29] In the same group Haist reported the importance of the pseudarthrosis type: while all patients with hypertrophic pseudarthrosis reached complete healing after shock wave therapy only 3 of 13 patients with atrophic pseudarthrosis had sufficient results.[30] Schleberger postulated stabilisation of the pseudarthrosis after shock wave treatment providing only axial pressure on the pseudarthrosis and achieved a treatment success in 41 of 45 patients.[31, 32] He recommended orthesis, but osteosynthetic material can be used, too, without compromising safety or success.[33, 34]. Our own data is the largest series of pseudarthrosis treated by extracorporeal shock waves with good success rates.

Soft tissue treatments (as for calcaneal spur) are subject of discussion. Energy densities vary.[35-38] We used higher energy levels in the extracorporeal group, which significantly reduced retreatment rate. Thus one might speculate that the treatment success correlates with a certain amount of energy used, which can be done in few treatments at a higher energy density or in more treatments at lower

energy density. In our hands the higher energy density approach revealed stable succes rates for up to 4 years.

With the Swiss DolorClast® (pneumatically generated, radial extracorporeal shock waves) treatment results are comparable to our conventional extracorporeal experience. Although follow-up here presented is only 12 weeks, the first patients have reached the one year follow up and still keep the success rates of the conventional extracorporeal group.

Thus the new device seems to achieve good and durable results at a lower cost level.

Conclusions

Shock wave therapy for calcaneal spur is effective. It is non invasive and - in case of failure - does not compromise any invasive therapy. It has a low complication rate and can be performed on an ambulatory basis. As compared to the alternative surgical treatment, it is cost effective and has a very high patient acceptance.

The new device for radial extracorporeal shock wave therapy maintains the advantages of conventional extracorporeal shock wave therapy at least; in some clinical parameters it even seems to be superior. Economically, radial shock wave therapy with the Swiss DolorClast® is more cost effective.

References

- Chaussy, C., Eisenberger, F., Wanner, K., Forssmann, F., Hepp, W., Schmiedt, E., Brendel, W., The use of shock waves for the destruction of renal calculi without direct contact. Urol. Res., 1976. 4: p. 175.
- 2. Chaussy, C., Extracorporeal shock wave lithotripsy. 1982, Basel: Karger.
- 3. Iro, H., Schneider, H., Födra, C., Waitz, G., Nitsche, N., Heinritz, H.H., Benninger, J., Ell, C., *Shockwave lithotripsy of salivary gland stones*. Lancet, 1992. **339**: p. 1333-1336.
- Sauerbruch, T., Delius, M., Paumgartner, G., Holl, J., Wess, O., Weber, W., Hepp, W., Brendel, W., Fragmentation of gallstones by extracorporeal shock waves. New Engl. J. Med., 1986. 314: p. 818-822.
- Sauerbruch, T., Holl, J., Sackmann, M., Werner, R., Wotzka, R., Paumgartner,
 G., Disintegration of a pancreatic duct stone with extracorporeal shock waves in a patient with chronic pancreatitis. Endoscopy, 1987. 19: p. 207-208.
- 6. Haupt, G., Haupt, A., Gerety, B., Chvapil, M., *Enhancement of fracture healing with extracorporeal shock waves.* J. Urol., 1990. **143**: p. 230A.
- 7. Haupt, G., Ekkernkamp, A., Chvapil, M., Haupt, A., Gerety, B., *Der Einfluß* extrakorporaler Stoßwellen auf die Knochenbruchheilung. Hefte zur Unfallheilkunde, 1991. **220**: p. 524.
- 8. Haupt, G., Haupt, A., Ekkernkamp, A., Gerety, B., Chvapil, M., *Influence of shock waves on fracture healing.* Urology, 1992. **39**: p. 529-532.
- 9. Graff, J., Die Wirkung hochenergetischer Stoßwellen auf Knochen und Weichteilgewebe. 1989, Bochum: Habilitationsschrift, Ruhr-Universität Bochum.
- 10. Dahmen, G.P., Meiss, L., Nam, V.C., Franke, R., Gonchars, V., Extrakorporale Stoßwellentherapie (ESWT) im knochennahen Weichteilbereich an der Schulter. Erste Therapieergebnisse. Extracta Orthopaedica, 1992. **15**: p. 25.
- Loew, M., Jurgowski, W., Erste Erfahrungen mit der Extrakorporalen Stoßwellen-Lithotripsie (ESWL) ind der Behandlung der Tendinosis calcarea der Schulter. Z. Orthop., 1993. 131: p. 470-473.
- 12. Graff, J., Richter, K.-D., Pastor, J., Wirkung von hochenergetischen Stoßwellen auf Knochengewebe. Verh. Ber. Dt. Ges. Urol., 1987. 39: p. 76.
- 13. Graff, J., Richter, K.-D., Pastor, J., Effect of high energy shock waves on bony tissue. Urol. Res., 1988. **16**: p. 252.

- 14. Graff, J., Berding, C., Beck, M., Transmission of shock waves through bone: Is it possible to treat iliac ureteral stones with patient in supine position?, in Shock Wave lithotripsy, J.E. Lingeman, Newman, D., Editor. 1989, Plenum Press: New York. p. 115.
- 15. Ekkernkamp, A., Haupt, G., Knopf, H.-J., Püllenberg, P., Muhr, G., Senge, Th., Effects of extracorporeal shock waves on standardized fractures in sheeps. J. Urol., 1991. 145: p. 257A.
- 16. Ekkernkamp, A., *Die Wirkung extrakorporaler Stoßwellen auf die Frakturheilung.* 1992, Bochum: Habilitationsschrift, Ruhr-Universität Bochum.
- Ekkernkamp, A., Bosse, A., Haupt, G., Pommer, A., Der Einfluß der extrakorporalen Stoßwellen auf die standardisierte Tibiafraktur am Schaf, in Aktuelle Aspekte der Osteologie, T. Ittel, Sieberth, G., Matthiass, H., Editor. 1992, Springer: Berlin Heidelberg New York. p. 307-310.
- 18. Haupt, G., Ekkernkamp, A., Püllenberg, P., Senge, Th., Einfluß extrakorporal erzeugter Stoßwellen auf standardisierte Tibiafrakturen im Schafmodell. Urologe A, 1992. **31**: p. A43.
- 19. Johannes, E.J., Kaulesar Sukul, D.M., Pierik, E.G., Kristelijn, M.J., van Eijck, G.J., Bras, J., *Non-operative treatment of non-unions in bone with extracorporeal shock waves*. Eur. Surg. Res., 1992. **24**(Suppl. 2): p. 24.
- 20. Johannes, E.J., Kaulesar Sukul, D.M., Matura, E., *High-energy shock waves for the treatment of nonunions: an experiment on dogs.* J. Surg. Res., 1994. **57**: p. 246-252.
- 21. van Arsdalen, K.N., Kurzweil, S., Smith, J., Levin, R.M., *Effects of lithotripsy on immature bone and kidney development*. J. Urol., 1991. **146**: p. 213.
- Seemann, O., Rassweiler, J., Chvapil, M., Alken, P., Drach, G.W., Effect of low-dose shock wave energy on fracture healing: an experimental study. J. Endourol., 1992. 6: p. 219-223.
- 23. Valchanow, V., Michailow, P., Patrashkov, T. New possibilities of HM-3 lithotriptor for treatment of disturbed bone union. in 7th World Congress on ESWL and Endourology. 1989. Kyoto, Japan.
- Valchanou, V.D., Michailov, P., High energy shock waves in the treatment of delayed and nonunion fractures. Internat. Orthopaedics (SICOT), 1991. 15: p. 181-184.

- 25. Bürger, R.A., Witzsch, U., Haist, J., Karnosky, V., Ahlers, J., Hohenfellner, R., Extracorporeal shock wave therapy of pseudarthrosis. J Urol, 1992. **147**: p. 260A.
- 26. Bürger, R.A., Witzsch, U., Haist, J., Grebe, P., Hohenfellner, R., *Die extrakorporale Stoßwellentherapie eine neue Möglichkeit zur Behandlung von Pseudarthrosen*, in *Stoßwellenlithotripse*, *Aspekte und Prognosen*, E.F. Chaussy C, Jocham D, Wilbert D, Editor. 1993, Attempto Verlag: Tübingen. p. 127-130.
- 27. Witzsch, U., Bürger, R.A., Karnoski, V., Haist, J., Hochenergie-Stoßwellenbehandlung eine Methode zur Therapie von Pseudarthrosen. Urologe A, 1992. **31**: p. A43.
- 28. Bürger, R.A., Witzsch, U., Haist, J., Karnosky, V., Extrakorporale Stoßwellenbehandlung bei Pseudarthrose und aseptischer Knochennekrose. Urologe A, 1991. 30: p. A48.
- 29. Bürger, R.A., Witzsch, U., Haist, J., Karnosky, V., Hohenfellner, R., Extracorporeal shock wave therapy of pseudo-arthrosis and aseptic osteonecrosis. J. Endourol., 1991.
- 30. Haist, J., Die Osteorestauration via Stoßwellenanwendung. Eine neue Möglichkeit zur Therapie der gestörten knöchernen Konsolidierung., in Die Stoßwelle Forschung und Klinik, C. Chaussy, Eisenberger, F., Jocham, D., Wilbert, D., Editor. 1995, Attempto Verlag: Tübingen. p. 157-161.
- Schleberger, R., Senge, Th., Nicht-invasive Behandlung nach Versagen der Frakturheilung durch Stoßwellen., in Aktuelle Aspekte der Osteologie, T. Ittel, Sieberth, G., Matthiass, H., Editor. 1991, Springer: Berlin Heidelberg New York.
- 32. Schleberger, R., Senge, T., Non-invasive treatment of long bone pseudarthrosis by shock waves (ESWL). Arch. orthop. Trauma. Surg., 1992. 111: p. 224-227.
- 33. Schleberger, R., Anwendung der extrakorporalen Stoßwelle a Stütz- und Bewegungsapparat im mittelenergetischen Bereich, in Die Stoßwelle Forschung und Klinik, C. Chaussy, Eisenberger, F., Jocham, D., Wilbert, D., Editor. 1995, Attempto Verlag: Tübingen. p. 166-174.
- 34. Haupt, G., Katzmeier, P., Anwendung der hochenergetischen extrakorporalen Stoßwellentherapie bei Pseudarthrosen, Tendinosis calcarea der Schulter und

- Ansatztendinosen (Fersensporn, Epicondylitis), in Die Stoßwelle Forschung und Klinik, C. Chaussy, Eisenberger, F., Jocham, D., Wilbert, D., Editor. 1995, Attempto Verlag: Tübingen. p. 143-146.
- 35. Rompe, J.D., Küllmer, K., Eysel, P., Riehle, H.M., Bürger, R., Nafe, B., Niedrigenergetische extrakorporale Stoßwellentherapie ESWT beim plantaren Fersensporn. Orthop. Praxis, 1996. **32**: p. 271-275.
- 36. Rompe, J.D., Rumler, F., Hopf, C., Nafe, B., Heine, J., *Extracorporeal shock wave therapy for the calcifying tendinitis of the shoulder.* Clin. Orthop., 1995. **321**: p. 196-201.
- 37. Haist, J., von Keitz-Steeger, D., Shock wave therapy in the treatment of near to bone soft tissue pain in sportsmen. Int. J. Sports Med., 1996. **17**: p. S79.
- 38. Dahmen, G.P., Franke, R., Gonchars, V., Poppe, K., Lentrodt, S., Lichtenberger, S., Jost, S., Montigel, J., Nam, V.C., Dahmen, G., Die Behandlung knochennaher Weichteilschmerzen mit Extrakorporaler Stoßwellentherapie (ESWT), Indikation, Technik und bisherige Ergebnisse, in Die Stoßwelle Forschung und Klinik, C. Chaussy, Eisenberger, F., Jocham, D., Wilbert, D., Editor. 1995, Attempto Verlag: Tübingen. p. 175-186.